

G-79

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/857754

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

INTERNATIONAL APPLICATION NO.

PCT/FR99/03032

INTERNATIONAL FILING DATE

December 7, 1999

PRIORITY DATE CLAIMED

December 9, 1998

TITLE OF INVENTION

Contactless Electronic Communication Device With Optional Auxiliary
APPLICANT(S) FOR DO/EO/US Power Sources

DEFFONTAINES, Thierry; RINCEL, Philippe; and CAYE, Nathalie

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau. PCT/IB/304
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)). (17 pages)
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (not signed)
10. ☐ A translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98. PTO 1499 (2x)
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment. Please enter before calculating the filing fee.
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☐ Other items or information:

U.S. APPLICATION NO. 09/857704		INTERNATIONAL APPLICATION NO. PCT/FR99/03032		ATTORNEY'S DOCKET NUMBER G-79	
17. <input type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1070.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$60.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$790.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$720.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$98.00 ENTER APPROPRIATE BASIC FEE AMOUNT =				CALCULATIONS PTO USE ONLY	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).					
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	9 - 20 =	0	x \$22.00		
Independent claims	1 - 3 =	0	x \$82.00		
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270.00		
TOTAL OF ABOVE CALCULATIONS =				\$ 860	
Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).					
SUBTOTAL =					
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).					
TOTAL NATIONAL FEE =				\$ 860	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					
TOTAL FEES ENCLOSED =				\$ 860	
				Amount to be refunded:	\$
				charged:	\$
a. <input checked="" type="checkbox"/> A check in the amount of \$ 860- to cover the above fees is enclosed.					
b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.					
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 16-2128 . A duplicate copy of this sheet is enclosed.					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO: Roland Plottel Rockefeller Center Stn. P.O. Box 293 New York, N.Y. 10185-0293					
JUN - 7 2001 <u>Roland Plottel</u> SIGNATURE <u>ROLAND PLOTTEL</u> NAME <u>20,707</u> REGISTRATION NUMBER					

09/857754

10/01/95

531 Rec'd PCT

07 JUN 2001

Case Docket No.

G-79

"Express Mail" mailing label number EL446868853US

Date of Deposit

JUN - 7 2001

I hereby certify that this application is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, DC 20231.

Roland Pottel
Roland Pottel

Transmitted herewith for filing is the patent application of

Inventor:

DEFFONTAINES, Thierry; RINCEL, Philippe; CAYE, Nathalie

For:

Contactless Electronic Communication Device With Optional

Enclosed are:

Auxiliary Power Sources

☒ 5 sheets of drawing.

☐ An assignment of the invention to Gemplus
☐ A certified copy of a French application No. 98/15543 the priority of which is hereby claimed, pursuant to the International Convention for the protection of Industrial Property (613 OG 23, 53 Stat. 1748, MPEP 201.13).

☐ A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27.

☒ Preliminary Amendment; please enter before calculating the filing fee.

The filing fee has been calculated as shown below:

	(Col. 1)	(Col. 2)
FOR:	NO. FILED	NO. EXTRA
BASIC FEE		
TOTAL CLAIMS	9 -20=	*
INDEP CLAIMS	1 -3=	*
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED		

* If the difference in Col. 1 is less than zero, enter "0" in Col. 2

SMALL ENTITY

RATE	FEE
	\$
X 11	\$
X 39	\$
+ 125	\$
TOTAL	\$

OR

OR

OR

OR

OR

OR

OR

OTHER THAN A SMALL ENTITY

RATE	FEE
	\$
X 22	\$
X 78	\$
+ 250	\$
TOTAL	\$

\$40.00

Assignment Recording Fee:

☐ Please charge my Deposit Account No. 16-2128 in the amount of \$ 8081. A duplicate copy of this sheet is enclosed.

☒ A check in the amount of \$ 860.00 to cover the filing/fee^s and assignment recording is enclosed.

☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 16-2128. A duplicate copy of this sheet is enclosed.

☒ Any additional filing fees required under 37 CFR 1.16.

☒ Any patent application processing fees under 37 CFR 1.17.

☒ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 16-2128. A duplicate copy of this sheet is attached.

☒ Any patent application processing fees under 37 CFR 1.17.

☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).

☒ Any filing fees under 37 CFR 1.16

Address all future correspondence and telephone calls to applicant's attorney whose address and telephone is listed below:

212 489-7073

JUN - 7 2001

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ROLAND PLOTTEL PTONo.20707

✓ Prior art Statement form 1449 (2x)

References 3 Total - From IB